



Track & Trace Business

Kopie
zur Kenntnisnahme

Mailing information

Consignment number: 99.42.172015.00011774
Weight: 1.480 kg
Dimensions: 33.0 x 23.6 x 3.9 cm
Basic service: PostPac Priority
Additional services: SI

Deciphered address of
Katharinenweg
8002 Zürich Zustellkreis

Confirmation of receipt

1st signature

Date/time signature:
07.02.2011, 08:58:36
Receiver:
KOHN.

Item events

Date	Time	Status	Processed by
28.01.2011	16:02	Mailing	8032 Zürich 32 Neumünster
28.01.2011	19:54	Sorting - forwarding	8902 Urdorf Geschäftskundenannahme
28.01.2011	23:28	sorted in	8520 Frauenfeld Paketzentrum
31.01.2011	07:21	Registered for collection	8902 Urdorf Distributionsbasis
31.01.2011	12:57	Arrival at pick-up/delivery point	8027 Zürich 27 Enge
07.02.2011	08:58	Delivered over counter	8027 Zürich 27 Enge
07.02.2011	08:58	Delivered over counter	8027 Zürich 27 Enge

Attestation

CERTIFICATE

Zustellungszeugnis

Attestazione

L'autorité soussignée a l'honneur d'attester conformément à l'article 6 de la dite Convention,

THE UNDERSIGNED AUTHORITY HAS THE HONOUR TO CERTIFY, IN CONFORMITY WITH ARTICLE 6 OF THE CONVENTION,

Die unterzeichnete Behörde beehrt sich, nach Artikel 6 des Übereinkommens zu bescheinigen,

L'autorità sottoscritta si pregia attestare in conformità all'art. 6 di detta Convenzione,

Supreme Court of the Canton of Zurich
International Legal Assistance
(Please mention this reference in any reply)

1. que la demande a été exécutée¹⁾

THAT THE DOCUMENT HAS BEEN SERVED¹⁾

dass das Ersuchen erledigt worden ist¹⁾

che la domanda è stata eseguita¹⁾

- le (date) THE (DATE) - am (Datum) - il (data)

February 7, 2011

- à (localité, rue, numéro) - AT (PLACE, STREET, NUMBER)

8002 Zürich, Katharinenweg 4

- in (Ort, Strasse, Nummer) - a (località, via, numero)

- dans une des formes suivantes prévues à l'article 5:

- IN ONE OF THE FOLLOWING METHODS AUTHORISED BY ARTICLE 5:

- in einer der folgenden Formen nach Artikel 5:

- in una delle seguenti forme previste dall'articolo 5:

a) - selon les formes légales (article 5, alinéa premier, lettre a)¹⁾

- IN ACCORDANCE WITH THE PROVISIONS OF SUB-PARAGRAPH (A) OF THE FIRST PARAGRAPH OF ARTICLE 5 OF THE CONVENTION¹⁾

- in einer der gesetzlichen Formen (Art. 5 Abs. 1 Bst. a)¹⁾

- secondo le forme di legge (art. 5 comma 1 lett. a)¹⁾

b) - selon la forme particulière suivante¹⁾:

- IN ACCORDANCE WITH THE FOLLOWING PARTICULAR METHOD¹⁾:

- in der folgenden besonderen Form¹⁾:

- secondo la forma particolare seguente¹⁾:

c) - par remise simple¹⁾

- BY DELIVERY TO THE ADDRESSEE, WHO ACCEPTED IT VOLUNTARILY¹⁾

- durch einfache Übergabe¹⁾

- mediante semplice consegna¹⁾

Les documents mentionnés dans la demande ont été remis à:

THE DOCUMENTS REFERRED TO IN THE REQUEST HAVE BEEN DELIVERED TO:

Die in dem Ersuchen erwähnten Schriftstücke sind übergeben worden an:

I documenti di cui alla domanda sono stati consegnati a:

- (Identité et qualité de la personne): (IDENTITY AND DESCRIPTION OF PERSON):

- (Name und Stellung der Person): - (identità e qualità della persona):

- liens de parenté, de subordination ou autres, avec le destinataire de l'acte:

- RELATIONSHIP TO THE ADDRESSEE (FAMILY, BUSINESS OR OTHER):

- Verwandtschafts-, Arbeits- oder sonstiges Verhältnis zum Zustellungsempfänger:

- rapporto di parentela, di subordinazione od altro, con il destinatario dell'atto:

The addressee, Ms Sonja Kohn, or another authorized member of the same household.

2. que la demande n'a pas été exécutée, en raison des faits suivants¹⁾:

THAT THE DOCUMENT HAS NOT BEEN SERVED, BY REASON OF THE FOLLOWING FACTS¹⁾:

dass das Ersuchen aus folgenden Gründen nicht erledigt werden konnte¹⁾:

che la domanda non è stata eseguita, per i seguenti motivi¹⁾:

Conformément à l'article 12, alinéa 2, de ladite Convention, le requérant est prié de payer ou de rembourser les frais dont le détail figure au mémoire ci-joint¹⁾.

IN CONFORMITY WITH THE SECOND PARAGRAPH OF ARTICLE 12 OF THE CONVENTION, THE APPLICANT IS REQUESTED TO PAY OR REIMBURSE THE EXPENSES DETAILED IN THE ATTACHED STATEMENT¹⁾.

Nach Artikel 12 Absatz 2 des Übereinkommens wird die ersuchende Stelle gebeten, die Auslagen, die in der beiliegenden Aufstellung im einzelnen angegeben sind, zu zahlen oder zu erstatten¹⁾.

In conformità all'articolo 12 comma 2 di detta Convenzione, il richiedente è pregato di pagare o rimborsare le spese indicate dettagliatamente nella memoria allegata¹⁾.

Annexes: ANNEXES: Beilagen: Allegati:

Pièces renvoyées:

Zurückgesandte Schriftstücke:

DOCUMENTS RETURNED:

Se del caso, gli atti che ne comprovano l'esecuzione:

Hague form, signed certificate, duplicate of documents according to the list of documents on page 1 of the request form.

Le cas échéant, les documents justificatifs de l'exécution:

Gegebenenfalls Erledigungsstücke:

IN APPROPRIATE CASES, DOCUMENTS ESTABLISHING THE SERVICE:

Atti restituiti:

Obergericht des Kantons Zürich

Internationale Rechtshilfe

Hirschengraben 15

Postfach

8021 Zürich (Schweiz)

Fait à DONE AT Ausgefertigt in Fatto a

le THE am il February 14, 2011

Signature et cachet

SIGNATURE AND STAMP

Unterschrift und Stempel

Firma e timbro

Tom Šimašek

**REQUEST
FOR SERVICE ABROAD OF JUDICIAL OR EXTRAJUDICIAL DOCUMENTS
DEMANDE**

**AUX FINS DE SIGNIFICATION OU DE NOTIFICATION A L'ÉTRANGER
D'UN ACTE JUDICIAIRE OU EXTRAJUDICIAIRE**

CANTON OF THE CANTON OF ZURICH
International Legal Assistance
(Please mention this reference in any reply)

Convention on the service abroad of judicial and extrajudicial documents in civil or
commercial matters, signed at The Hague, November 15, 1965.

Convention relative à la signification et à la notification à l'étranger des actes judiciaires ou extrajudiciaires en matière civile
ou commerciale, signée à La Haye, le 15 Novembre 1965.

WR 110107-O

**Identity and address of the applicant
Identité et adresse du requérant**

Karina Shreefer, Esq.
LEGAL LANGUAGE SERVICES
8014 State Line Road, Suite 110
Leawood, Kansas 66208
UNITED STATES OF AMERICA
Tel. 1.913.341.3167

**Address of receiving authority
Adresse de l'autorité destinataire**

HAGUE CENTRAL AUTHORITY FOR THE CANTON OF
ZURICH
Obergericht des Kantons Zürich
Zentralbehörde Rechtshilfe Zivilsachen
Hirschengraben 15
8021 Zürich
SWITZERLAND

The undersigned applicant has the honour to transmit in duplicate the document listed below and, in conformity with article 5 of the
above-mentioned Convention, requests prompt service of one copy thereof on the addressee, i.e.,
(identity and address)

*Le requérant soussigné a l'honneur de faire parvenir en double exemplaire à l'autorité destinataire les documents ci-dessous énumérés,
en la priant conformément à l'article 5 de la Convention précitée, d'en faire remettre sans retard un exemplaire au destinataire, savoir:*

(identité et adresse) Sonja KOHN, an individual
Katharinenweg 4, CH-8002 Zurich
SWITZERLAND

- ☒ (a) in accordance with the provisions of sub-paragraph (a) of the first paragraph of article 5 of the Convention.* Service in accordance with
(a) selon les formes légales (article 5, alinéa premier, lettre a). Swiss law for service of documents in its territory.
- ☐ (b) in accordance with the following particular method (sub-paragraph (b) of the first paragraph of article 5)*:
(b) selon la forme particulière suivante (article 5, alinéa premier, lettre b):

- ☐ (c) by delivery to the addressee, if he accepts it voluntarily (second paragraph of article 5)*:
(c) le cas échéant, par remise simple (article 5, alinéa 2):

The authority is requested to return or to have returned to the applicant a copy of the documents and of the annexes* with a certificate
as provided on the reverse side.

*Cette autorité est priée de renvoyer ou de faire renvoyer au requérant un exemplaire de l'acte et de ses annexes avec
l'attestation figurant au verso.*

THE PERSONS AND ENTITIES WITHIN THE UNITED STATES COMPETENT TO FORWARD SERVICE REQUESTS PURSUANT TO ARTICLE
3 INCLUDE ANY COURT OFFICIAL; ANY ATTORNEY; OR ANY OTHER PERSON OR ENTITY AUTHORIZED BY THE RULES OF THE
COURT. (See U.S. declarations to the 1965 Convention at the Hague Conference website: http://www.hcch.net/index_en.php?act=authorities.details&aid=279).

List of documents

Énumération des pièces

Executed "Request," in duplicate

"Certificate" (unexecuted), in duplicate

"Summary," in duplicate

"Notice," in duplicate

Summons in a Civil Action, in English and German, in duplicate

Consolidated Amended Complaint, in English and German, in

duplicate

Certification of Plaintiff Pursuant to Federal Securities Laws, in

English and German, in duplicate

Done at Leawood, Kansas, U.S.A., the 14 Jan. 2011

Fait à _____, le _____

Signature and/or stamp.

Signature et/ou cachet.

Karina Shreefer

*Delete if inappropriate
Rayer les mentions inutiles.

SUMMARY OF THE DOCUMENT TO BE SERVED
ELEMENTS ESSENTIELS DE L'ACTE

Convention on the service abroad of judicial and extrajudicial documents in civil or commercial matters, signed at The Hague, November 15, 1965.
 Convention relative à la signification et à la notification à l'étranger des actes judiciaires et extrajudiciaires en matière civile ou commerciale, signée à La Haye, le 15 Novembre 1965.

Supreme Court of the Canton of Zurich
 International Legal Assistance
 (Please mention this reference in any reply)

(article 5, fourth paragraph)
 (article 5, alinéa 4)

WR110107-O

Name and address of the requesting authority: Karina J. Shreefer, Esq.
 Nom et adresse de l'autorité requérante : LEGAL LANGUAGE SERVICES
 8014 State Line Road, Suite 110, Leawood, Kansas 66208, U.S.A.
 Tel. 1.913.341.3167

Particulars of the parties*:

Identité des parties : IN RE:
 HERALD, PRIMEO AND THEMA FUNDS SECURITIES LITIGATION

JUDICIAL DOCUMENT**
ACTE JUDICIAIRE

Nature and purpose of the document:

Nature et objet de l'acte : To give notice to the Defendant of the commencement of a civil claim against her and to summon her to answer or otherwise respond.

Nature and purpose of the proceedings and, where appropriate, the amount in dispute:

Nature et objet de l'instance, le cas échéant, le montant du litige : A civil action has been commenced against the Defendant.

Date and place for entering appearance:**

Date et lieu de la comparution : Within twenty-one (21) days after service of the Summons (not counting day of receipt), Defendant must serve upon Plaintiff's Attorney (Cohen Milstein Sellers & Toll PLLC) an Answer to the Complaint or a Motion under Rule 12 of the Federal Rules of Civil Procedure. Defendant also must file her Answer or Motion with the United States District Court, Southern District of New York, located at: 500 Pearl Street, New York, New York 10007, U.S.A.

Court which has given judgment:**

Jurisdiction qui a rendu la décision : N/A

Date of judgment:**

Date de la décision : N/A

Time limits stated in the document:**

Indication des délais figurant dans l'acte : Within twenty-one (21) days after service of the Summons (not counting day of receipt), Defendant must serve upon Plaintiff's Attorney (Cohen Milstein Sellers & Toll PLLC) an Answer to the Complaint or a Motion under Rule 12 of the Federal Rules of Civil Procedure. If Defendant fails to respond, judgment by default will be entered against her for the relief demanded in the Complaint. Defendant also must file her Answer or Motion with the Court.

EXTRAJUDICIAL DOCUMENT**
ACTE EXTRAJUDICIAIRE

Nature and purpose of the document:

Nature et objet de l'acte : N/A

Time limits stated in the document:**

Indication des délais figurant dans l'acte : N/A

* If appropriate, identity and address of the person interested in the transmission of the document.

S'il y a lieu, identité et adresse de la personne intéressée à la transmission de l'acte.

** Delete if inappropriate.

Rayer les mentions inutiles.